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### Research Hotspots and Frontiers of Robot-Assisted Thoracic Surgery: A Study Based on Bibliometric Analysis

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Food and Drug Administration; Thoracic surgery; CiteSpace

### 1. Introduction

The Da Vinci robot was certified by the U.S. Food and Drug Administration (FDA) in 2000 and is currently the most advanced minimally invasive surgical robot system which integrates many emerging disciplines to achieve the development of minimally invasive, intelligent and digital surgical operations [1]. The operation of chest surgery applied to this system was approved by the U.S. FDA in 2001. After 20 years of clinical practice, it has effectively solved the two-dimensional surgical field of vision in conventional thoracoscopic surgery, the "chopstick" operation of rod-shaped instruments, and the long learning curve, lack of tactile feedback and other issues [2]. This study adopts bibliometrics methods to analyze research papers in the field of thoracic surgery by robots in the Web of Science database. The research status and development trend of robot-assisted thoracic surgery are analyzed from the perspectives of number of papers, national/regional distribution and cooperation, research status, research hotspots and frontiers, and the research on robotic systems is deeply explored to provide a reference for the application of domestic robotic systems in the field of thoracic surgery.

### 2. Methods

### 2.1. Data Source

The data was retrieved through the Web of Science database from January 2000 to December 2019 with English language restrictions. The search terms "robot" and "thoracic surgery" were found with this specific combination. In this study, we excluded conference papers, letters, comments, duplicate papers, irrelevant papers,

or papers with incomplete information

### 2.2. Data Analysis

The Thomson Data Analyzer software (TDA) was used for data cleaning and bibliometric analysis on basis of the retrieved articles. The software VOS viewer and CiteSpace were used for visual analysis. Through the establishment of time distribution map, national cooperation distribution map, institutional cooperation distribution map and keyword time zone map.etc., the knowledge base and research hotspots in the field of robot-assisted thoracic surgery were identified and found. CiteSpace software was used to analyze the emergent words to detect the rapid growth of professional vocabularies in a short time. With the time distribution and dynamic change characteristics of emergent words, it reflected the research frontier and development trend of this field.

### 3. Results

A total of 1,209 pieces of data were retrieved in the Web of Science database from January 2000 to December 2019, and 107 articles due to not meet the inclusion criteria were excluded. Finally, 1,102 papers were included in the statistical analysis. As shown in Figure 1, among the 1,102 articles, the top five countries for published articles were the US (478), China (123), Germany (93), Italy (80) and Japan (65). The number of articles published by the US was the highest worldwide than that of other countries, accounting for 43.38%.

As shown in Figure 2, in the past 20 years, the literature on robotic-assisted thoracic surgery research has been continued to grow worldwide, especially from January 2014 to December 2019,

Volume 6 Issue 14 -2022 Research Article

64.07% (706/1,102) articles were published in this period. The research trend of the US in this research field was similar with the overall development trend of the world. However, the research of robot-assisted thoracic surgery has been published in China until 2007.

The citation frequency of an article reflects the quality of the article. As shown in Figure 3, the cumulative average citation frequency of each article in the world from 2000 to 2019 was 15.71(1.89-22.59) times, and the cumulative average citation frequency of the U S articles was 18.57(1.00-36.29) times, which has been basically above the world average level from 2007 to 2019The cumulative average citation frequency of articles published in China from 2007 to 2019 was 5.29 (0.00-9.13) times, which was lower than the same period average level of worldwide.

The spatial distribution map of national cooperation on robotic-assisted thoracic surgery research was shown in Figure 4. The size of the circle represented the amount of published researches, and the connected lines represented the frequency of cooperation among different countries. The US (116 times) had the most connected lines with other countries, followed by Germany (63 times), Italy (55 times), Netherlands (54 times) and the United Kingdom (UK, 44 times). China ranked Number 10 with 27 times cooperation with other countries worldwide (Supplement Table 1).

There were 1,102 papers in the field of robotic-assisted thoracic surgery, covering a total of 15,176 cited references. Cited papers were imported into the VOS viewer software for co-citation clustering and visualization (Figure 5), and a total of three types of knowledge bases were obtained. The first category was the comparison of the safety and postoperative recovery of robotic pneu-

monectomy and thoracotomy and conventional thoracoscopic lung resection. The second category was the comparison of the short-term benefits after robotic esophagectomy and thoracotomy esophagectomy. The third category was the feasibility of the technical operation of the robot-assisted thoracic surgery system. Keywords are the author's refinement of the core research content. Cluster analysis of high frequency keywords could condense research hotspots in a field. According to the strength of association, the top 20 high frequency keywords are shown in Table 2.

The generated high-frequency words co-occurrence matrix was imported into VOS viewer for clustering and visualization, and the clustering results are obtained. Five research hotspots were obtained through clustering results analysis (Figure 5). The five research hotspots were perioperative safety and risk analysis of robotic manipulators in minimally invasive surgery in thoracic surgery, evaluation of short-term clinical efficacy of robot-assisted lobectomy compared with thoracotomy and conventional thoracoscopic surgery, robots experience sharing of assisted minimally invasive esophagectomy and lymph node dissection, system management of robot-assisted surgical resection of thymic diseases and analysis of postoperative results, and complications and learning curves in the application of CT-navigated surgical robots.

Using the word frequency increase algorithm provided by Citespace software, the words with high word frequency change rate were detected from a large number of keywords and arranged according to the time when the emergent words appear to observe the frontier and development trend of the robotic thoracic surgery research field. According to the time span and emergence intensity, the top 10 emergent words are shown in Table 3.

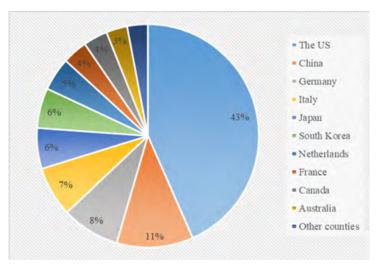


Figure 1: The proportion of the researches on robot-assisted thoracic surgery in different countries from January 2000 to December 2019.

Volume 6 Issue 14 - 2022 Research Article

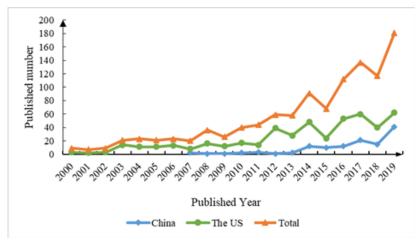


Figure 2: The number of published papers researched on robot-assisted thoracic surgery in each year from 2000 to 2019

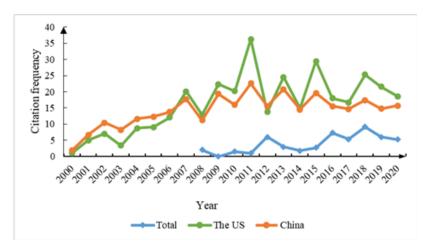


Figure 3: The citation frequency of researched on robot-assisted thoracic surgery in China, the US and worldwide.

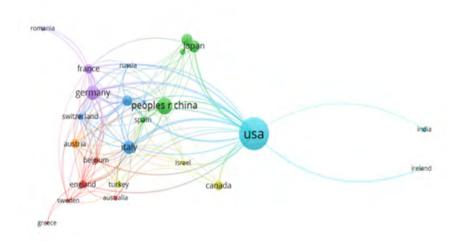


Figure 4: The spatial distribution map of national cooperation on robot-assisted thoracic surgery

Volume 6 Issue 14 -2022 Research Article

Table 1: Top 10 countries in international cooperation frequency

| Number | Country     | Cooperation Frequency |  |  |
|--------|-------------|-----------------------|--|--|
| 1      | The US      | 116                   |  |  |
| 2      | Germany     | 63                    |  |  |
| 3      | Italy       | 55                    |  |  |
| 4      | Netherlands | 54                    |  |  |
| 5      | The UK      | 44                    |  |  |
| 6      | France      | 36                    |  |  |
| 7      | Switzerland | 34                    |  |  |
| 8      | Belgium     | 31                    |  |  |
| 9      | Australia   | 28                    |  |  |
| 10     | China       | 27                    |  |  |

Table 2: The top 20 keyword strengths of robot-assisted thoracic surgery

| No. | Keywords                         | Frequency | Correlation strength |
|-----|----------------------------------|-----------|----------------------|
| 1   | Outcomes                         | 182       | 1138                 |
| 2   | Experience                       | 202       | 1105                 |
| 3   | Resection                        | 156       | 1030                 |
| 4   | Surgery                          | 229       | 1004                 |
| 5   | Robotic surgery                  | 163       | 843                  |
| 6   | Lobectomy                        | 132       | 785                  |
| 7   | Cancer                           | 136       | 761                  |
| 8   | Minimally invasive esophagectomy | 87        | 565                  |
| 9   | Lung cancer                      | 81        | 547                  |
| 10  | Minimally invasive surgery       | 90        | 518                  |
| 11  | Thoracotomy                      | 63        | 464                  |
| 12  | Thoracoscopic lobectomy          | 60        | 407                  |
| 13  | Assisted thoracic-surgery        | 57        | 392                  |
| 14  | Thoracic-surgery                 | 66        | 368                  |
| 15  | Robotic                          | 64        | 365                  |
| 16  | Esophagectomy                    | 55        | 362                  |
| 17  | Cell lung-cancer                 | 53        | 352                  |
| 18  | Robotics                         | 71        | 336                  |
| 19  | Esophageal cancer                | 50        | 326                  |
| 20  | thymectomy                       | 50        | 321                  |

Table 3: Emergent words in the research field of robot-assisted thoracic surgery

| No. | Keywords                    | Strength | Start Year | End Year | 2010 - 2019 |
|-----|-----------------------------|----------|------------|----------|-------------|
| 1   | cardiopulmonary bypa        | 2.74     | 2010       | 2014     |             |
| 2   | axillary approach           | 3.2      | 2010       | 2012     |             |
| 3   | myasthenia gravi            | 2.61     | 2010       | 2011     |             |
| 4   | quality of life             | 3.08     | 2011       | 2014     |             |
| 5   | thoracoscopic thymectomy    | 2.51     | 2013       | 2014     |             |
| 6   | randomized controlled trial | 3.11     | 2014       | 2017     |             |
| 7   | open thoracotomy            | 2.55     | 2014       | 2016     |             |
| 8   | thoracic surgical lobectomy | 3.06     | 2016       | 2017     |             |
| 9   | esophageal neoplasm         | 2.4      | 2016       | 2017     |             |
| 10  | database                    | 3.32     | 2017       | 2019     |             |

Volume 6 Issue 14 -2022 Research Article

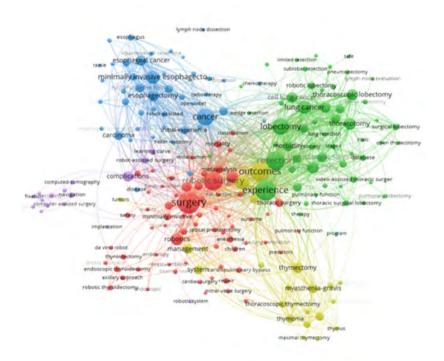


Figure 5: Research hotspots of robot-assisted thoracic surgery

### 4. Discussion

## 4.1. The research of robot assisted thoracic surgery in the United States has maintained a leading position, while in Chinathe research quality needs to be further improved and international cooperation needs to be strengthened.

According to the number of published articles and citation frequency, it can be seen that the amount of published articles in the United States was much higher than that of other countries, and the quality of articles has been above the world average level since 2007. The Da Vinci robot was certified by the US FDA in 2000. In 2002, robot-assisted lung surgery was reported for the first time. The surgical procedures included lobectomy and wedge resection [3]. Later, it was further explored that Da Vinci robot surgery has many advantages in the thoracic cavity, including benign and malignant esophageal surgery, mediastinal mass resection, the rare operations including diaphragm operation and reconstruction, and pleura stripping, were feasible and effective in clinical practice [4]. The surgical robot system has developed four generations (standard, S, Si, Xi). In April 2014, the latest fourth generation Xi robot was released, which has the advantages of three-dimensional high-definition surgical field, flexible mechanical wrist and tremor filter system [5], and has a qualitative improvement in flexibility, accuracy, imaging clarity, etc. The Da Vinci robot system has the characteristics of a three-dimensional picture, a range of motion close to a human hand, and a movement of more than 360 degrees in a very small space (internal wrist technology) [6], which not only overcomes the shortcomings of conventional thoracoscopic surgery, but also retains the advantages of minimally invasive surgery, such as small trauma, fast recovery and short hospital stay.

Moreover, studies have proved that robot surgery has more advantages than conventional thoracoscopic surgery in lymph node dissection, high difficulty and high-risk thoracic surgery, which reduces the difficulty of surgery and allows surgeons to complete the operation more comfortably [2]. Since 2007, some articles have been published in the field of robotic surgery in China, which started later than foreign countries [7]. There is also a large gap between the citation frequency of Chinese articles and the world average level so that the quality of research needs to be further improved. The frequency of international cooperation is only in the 10th place. Compared with other top 10 countries in the number of published articles, China has less cooperation between counties. In the future, it is necessary to further strengthen international cooperation and exchange, learn from foreign advanced experience and technology, and improve the research level of domestic articles. Meanwhile, the advantages of domestic surgical technology will be displayed to the world to increase China's international influence.

## 4.2. Robot-assisted thoracic surgery for cancer treatment is a research hotspot, with particular attention to the sharing of surgical experience and the evaluation of perioperative surgical results.

Through keyword analysis, it can be seen that robot-assisted surgery was used for research in the field of cancer. Commonly used in the surgical treatment of "lung cancer", "esophageal cancer" and mediastinal tumors, "lobectomy", "esophagectomy", and "thymectomy" were common surgical procedures for radical tumor surgery and appeared as keywords many times, which indicated the treatment of cancer was the research hotspot of robotic tech-

Volume 6 Issue 14 - 2022 Research Article

nology in thoracic surgery. The research of robot-assisted thoracic surgery mainly focused on two aspects. On the one hand, it focused on sharing experience with robotic surgery. Thoracic surgery had a narrow operating space and was close to important organs. In surgical operations, it was necessary to perform fine anatomy of blood vessels and other parts so that robotic technology was very beneficial [8]. According to different types of thoracic surgical diseases, different postures and approaches were selected for preoperative evaluation, and the application of manipulators, surgical operation and other precautions were shared [9]. Some studies believed that, especially for esophageal surgery, because it involved multiple important anatomical parts of neck, chest and abdomen, compared with multiple incisions of conventional surgery, robotic surgery was more attractive [10]. It also included research on learning and training of robotic surgery [11]; On the other hand, comparing the recent results of "robotic surgery" with conventional "minimally invasive surgery" and "thoracotomy surgery" [12, 13], domestic and foreign scholars have basically reached a consensus in terms of relieving pain, shortening hospital stay, reducing postoperative complications and lung function damage [14], and robotic surgery has certain advantages in increasing the number of lymph node dissections and stations [15]. Certainly, compared with conventional minimally invasive surgery and thoracotomy, the operation cost was significantly increased, which was also an important factor limiting robotic surgery [2]. However, there were few reports on the comparison of the long-term effects of patients. Whether there is a difference between the five-year overall survival rate and the five-year disease-free survival rate remains to be seen [16, 13].

# 4.3. Robot-assisted surgical treatment of esophageal tumors has a good prospect. The establishment of a clinical database of robot-assisted thoracic surgery, and the development of randomized controlled studies to improve the quality of life of patients are the frontier research directions in this field.

From the analysis of emergent words, it can be found that future research will mainly focus on two directions. Firstly, in terms of treatment of diseases and surgical techniques, diseases such as tumors in the esophagus, lung and thymus, myasthenia gravis, and other diseases are still research hotspots. Robotic surgery will continue to be used in surgical applications such as esophagus, lung lobes, and thymectomy. Surgical approaches such as "cardiopulmonary bypass" and "axillary approach" are still research hotspots in the future, especially, and robot-assisted surgical treatment of esophageal tumors is the frontier of research. Robotic technology has been applied to the surgical treatment of esophageal tumors in the early stage [17]. With the development of robotics, robotic surgery has been skillfully applied in the surgery of the neck, thoracic cavity and abdominal cavity [18, 19], which has laid a foundation for robot assisted surgical treatment of esophageal tumor. At the same time, compared with open surgery, the clinical outcome of robot-assisted esophageal surgery was bet-

ter, the incidence of surgery and cardiopulmonary complications was reduced, the pain was less, and the functional outcome was also improved [20]. Robot-assisted esophagectomy has advantages in ensuring clean margins, R0 resection rate of lymph nodes, and thoracic anastomotic leakage[15]. Therefore, robot-assisted surgical treatment of esophageal tumors is the frontier direction of research. Secondly, to establish a database for robot-assisted thoracic surgery, to carry out randomized controlled studies, and to emphasize the improvement of patients' quality of life and the long-term effect of surgery, which is an important direction for future research. From the analysis of hot spots, it can be found that at present, the research on improving the quality of life of patients with robot-assisted thoracic surgery was mostly retrospective research or experience sharing[4]. With the accumulation of experience in robotic surgery, a complete database such as the national database of American Society of thoracic surgeons was established [21]. Randomized controlled studies of robotic surgery and open thoracic surgery and traditional minimally invasive surgery in lung cancer and esophageal cancer [19, 22, 23] can effectively improve the quality of life of patients. In addition, some randomized controlled studies focusing on recent clinical outcomes and long-term survival are ongoing [24, 25], which will provide strong evidence for the advantages of robotic surgery and will be the frontier of future research.

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Volume 6 Issue 14 - 2022 Research Article

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